

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:	SELVAMANICKAM, et al.
Serial No.:	Not Assigned Yet
TITLE:	METHODS FOR SURFACE TEXTURING AMORHPOUS FILMS
Filing Date:	Filed Herewith
Examiner:	Not Assigned Yet
Art Unit:	Not Assigned Yet

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to Applicant's duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the documents listed on the attached Form PTO/SB/08A are being brought to the attention of the Examiner in charge of the above-referenced Application.

The Examiner is respectfully requested to initial the space adjacent to the listing of each document on Form PTO/SB/08A, and return a copy of the initialed Form PTO/SB/08A with the next communication to Applicant, to confirm that these documents have been considered by the Examiner and made of record in this Application.

The below-referenced information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 C.F.R. §§1.104(a) and 1.104(b) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this Application, and that the references be made of record therein and appear among the "References Cited" on any patent that issues therefrom.

This paper is being submitted in accordance with:

- 37 C.F.R. § 1.97(b):
 - With the application;
 - Within 3 months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. § 1.53(d);
 - Within 3 months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application;
 - Before the mailing of a First Office Action on the merits; or
 - Before the mailing of a First Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114.
- 37 C.F.R. § 1.97(c) – after the period specified in 37 C.F.R. § 1.97(b) but before the mailing date of any of a Final Office Action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution in this application, whichever is earlier; and includes:
 - The required statement made below; or
 - The **\$180** fee specified in 37 C.F.R. § 1.17(p) for submission of this IDS;
- 37 C.F.R. § 1.97(d) – after the period specified in 37 C.F.R. § 1.97(c) but on or before payment of the Issue Fee; and includes:
 - The required statement made below; and
 - The **\$180** fee specified in 37 C.F.R. § 1.17(p) for submission of this IDS;
- 37 C.F.R. § 1.97(e) – required statement:
 - Each item of information contained in this IDS was first cited in a communication from a foreign patent office in a counterpart

foreign application not more than three (3) months prior to the filing of this IDS; or

No item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three (3) months prior to the filing of this IDS.

If the fee of **\$0** is incorrect, please charge any additional fees due, or credit any overpayment, to **Deposit Account No. 04-1448**.

If Examiner has any questions or wishes to discuss this Application, Examiner is kindly encouraged to telephone undersigned.

Respectfully submitted,

Date: 08/19/03


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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
Sheet	1	of	2		
				Application Number	Not Assigned Yet
				Filing Date	Filed Herewith
				First Name Inventor	SELVAMANICKAM et al
				Group Art Unit	Not Assigned Yet
				Examiner Name	Not Assigned Yet
				Attorney Docket Number	SP #216 (3724)

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6
		Country Code3 - Number4 - Kind Code 5 (if known)				

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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<p>Substitute for form 1449B/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(use as many sheets as necessary)</p>				Complete if Known	
Sheet		2	of	2	Attorney Docket Number
					SP #216 (3724)

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T2
	3	Iijima, Y. et al., <i>In-plane Aligned YBa₂Cu₃O_{7-x} Thin Films Deposited on Polycrystalline Metallic Substrates</i> , APPL. PHYS. LETT., Vol. 60, No. 6, pp. 769-771 (1992).		
	4	Wu, X. D.. et al., <i>Properties of YBa₂Cu₃O_{7-x} Thick Films on Flexible Buffered Metallic Substrates</i> , APPL. PHYS. LETT., Vol. 67, No. 16, pp. 2397-2399 (1995).		
	5	Freyhardt, H. C., et al., <i>YBaCuO Thick Films on Planar and Curved Technical Substrates</i> , IEEE TRANSACTIONS ON APPLIED SUPERCONDUCTIVITY, Vol. 7, No. 2, pp. 1426-1431 (June 1997).		
	6	Foltyn, Stephen R., et al., <i>High-T_c Coated Conductors – Performance of Meter-Long YBCO/IBAD Flexible Tapes</i> , IEEE TRANSACTIONS ON APPLIED SUPERCONDUCTIVITY, Vol. 9, No. 2, pp. 1519-1522 (June 1999).		
	7	Groves, James R., et al., <i>Recent Progress in Continuously Processed IBAD MgO Template Meters for HTS Applications</i> , PHYSICA C, 382 (2002) 43-47.		
	8	Bauer, M., et al., <i>YBCO Films on Metal Substrates with Biaxially Aligned MgO Buffer Layers</i> , IEEE TRANSACTIONS ON APPLIED SUPERCONDUCTIVITY, Vol. 9, No. 2, pp. 1502-1505 (1999).		
	9	Reade, R. P., <i>Ion-Beam Nanotexturing of Buffer Layers for Near-Single-Crystal Thin-Film Deposition: Application to YBa₂Cu₃O_{7-x} Superconducting Films</i> , APPL. PHYS. LETT., Vol. 80, No. 8, pp. 1352-1354 (2002).		
	10	Hasegawa, K. et al., <i>In-Plane Aligned YBCO Thin Film Tape Fabricated by Pulsed Laser Deposition</i> , Proc. Of 16 th ICEC/ICMC, Amsterdam: Elservier Science, pp. 1413-1416 (1997).		

Examiner Signature		Date Considered
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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